KENTUCKY MINIATURE HORSE BREEDERS INCENTIVE

Rules and Regulations

A. Participation Requirements:

- 1. You must be a member of the Kentucky Miniature Horse Breeders Club (KMHBC).
- 2. You must be a member of the American Miniature Horse Association (AMHA).
- 3. All horses must be nominated and fees paid. It is not necessary for the owner of the stallion, the mare or the foal to be a Kentucky resident in order to participate.
- 4. All participants must be in good standing with the KMHBC and AMHA.

B. General Rules:

- 1. The KMHBC, with approval of the Kentucky Horse Racing Commission (KHRC), reserves the right to alter the rules and regulations of all or part of the Kentucky Miniature Horse Breeders Incentive Fund (KMHB IF) program as it, in its sole discretion, determines to ensure fairness and equality to all participants so that the spirit and intent of the program are being upheld.
- 2. The KHRC, in consultation with the KMHBC, shall decide any and all disputes arising out of the application or interpretation of any rules or conditions of the KMHB IF.
- 3. An entrant is ineligible to participate in the KMHB IF where prohibited by law because of legal residence, status of approved event, or any other basis, and all or the prohibited portion of the earned points or purse money shall be disregarded in calculations for any earned portion of the KMHB IF.
- 4. Members of the KMHBC are encouraged to use the KMHB IF logo in their ads. The logo will be supplied for free; however, it may not be altered.
- 5. The KHRC, KMHBC and/or their authorized representatives reserve the right and shall be granted the opportunity in a timely manner, to enter the premises of any and all participants of the KMHB IF to ensure compliance with program rules. No prior warning will be given. All facilities housing stallions will be checked.
- 6. Any attempt in connection with the KMHB IF to provide false or misleading information to the KMHBC or government officials, or to otherwise engage in fraudulent activity, shall result in appropriate disciplinary action by the KMHBC and the application of all civil and criminal penalties that may apply. KMHBC will utilize all options to monitor any fraud against the program. KMHBC and KHRC reserve the right to confirm a stallion's residency or confirm a mare's foaling or breeding location with a farm or breeding facility visit. See General Rule B5. Any breeder/owner/trainer/exhibitor participating in any misleading or fraudulent activity will be banned for life from the KMHB IF and reported to the State of Kentucky.
- 7. Payment of Fees Payments may be made by check or money order payable to the KMBHC and mailed to the current KMHBC address listed on the nomination forms. Payments must be made

- on or before the dates herein specified, or bear postmark before midnight of such dates. In the event a deadline falls on a Sunday or legal holiday, postmark bearing the date of the following day will be accepted. Note: U.S. Postal Service postmark supersedes a postage meter date.
- 8. Any submissions received by mail that have no postmark or have an unreadable postmark on the envelope will only be accepted up to four days after the deadline date. After that, late fees will apply. Therefore, to avoid being penalized for the failure of the U.S. Postal Service to properly postmark the envelope, it is strongly recommended that these materials be sent by certified mail or express courier (i.e. Federal Express).

C. Miniature Horses that are eligible to participate in the KMHB IF:

STALLIONS

- 1. Stallions eligible for nomination must stand the entire breeding season in Kentucky. A *Stallion Nomination Form* must be submitted by February 1 of the breeding year along with a color copy (front and back) of the stallion's AMHA registration certificate and all fees paid.
 - a) Stallions not nominated by February 1 may be late nominated for \$100.00 per month late fee in addition to the nomination fee.
 - b) Stallions are nominated yearly.
- 2. The "breeding season" is defined as beginning February 15 and ending December 1 or after the last mare is bred, whichever comes first, each year in the northern hemisphere.
 - a) Stallions do not have to be physically in Kentucky by February 15 but should have a declared Kentucky residence by this date which needs to be on record with the KMHBC.
 - b) The KMHBC and the KHRC reserve the right to confirm a stallion's residency with a farm or breeding facility visit.
 - c) If a stallion owner chooses to have a shorter breeding season and remove the stallion from his declared residence prior to December 1 that may be done so long as no other breeding occurs. The KMHBC must be notified that the breeding season in Kentucky has ended by completing the appropriate section on the Intent to Travel or Show form. If a nominated stallion breeds any mares outside of Kentucky, the resulting foals are not KMHB IF eligible.
 - d) All semen to include raw, cooled, frozen or otherwise, shall be produced and utilized to cause the conception of the KMHB IF eligible offspring during the breeding season in which the stallion stood in Kentucky. Semen may be shipped within Kentucky. Both the stallion and mare must be in the state when cover/insemination occurs.
- 3. The stallion may leave their declared residence during the breeding season for show or other purposes unrelated to breeding services by submitting an *Intent To Travel or Show Form*.
 - a) The form must be postmarked 2 weeks prior to the intended travel date or first show. Forms mailed or faxed less than 2 weeks prior will be charged a \$10.00 late fee.
 - b) If a stallion needs emergency medical attention at a vet clinic or equine hospital, the form must be returned with a copy of vet certification ASAP. No penalty or late fee will be assessed for emergency situations.

- c) Stallions leaving their declared residence without prior notification can be suspended.
- 4. A signed copy of the *AMHA Stallion Breeding Report* shall be sent to the KMHBC by January 15 following the breeding year.
 - a) Non-Kentucky residents must also include a copy of the stallion's board bills covering his time in Kentucky.
 - b) Copies postmarked after January 15 will be accepted with a \$100.00 late fee until February 1. After February 1 the late fee is \$250.00.
 - c) Amendments to the *AMHA Stallion Breeding Report* shall be reported to the KMHBC at the time they are reported to AMHA.
- 5. If you are going to sell stud services, you must apply for a Sales and Use Tax ID with the Kentucky Department of Revenue and file a Sales and Use Tax return. Along with this return, you must also file Form 51A132 which dedicates the tax to the Breeders Incentive Fund. For more information go to the Kentucky Department of Revenue website: http://205.204.134.17/kyforms/equine.aspx. You may also call the Kentucky Department of Revenue at 502-564-9014 and ask for Lindsey Murphy.

MARES

- 1. Mares eligible for nomination must be bred in Kentucky to a KMHB IF nominated stallion and be in-state a minimum of 45 days at foaling. A *Mare Nomination Form* must be submitted by February 1 of the foaling year along with a color copy (front and back) of the mare's AMHA registration certificate and all fees paid. Mares may be nominated any time after breeding.
 - a) Mares not nominated by February 1 may be late nominated for \$100.00 per month late fee in addition to the nomination fee.
 - b) Mares are nominated yearly.
 - c) Non-Kentucky residents must have a licensed Kentucky veterinarian physically inspect each foal within 48 hours of foaling, complete and sign a *Foaling Verification Form*. The *Foaling Verification Form* must be submitted to the KMHBC within 30 days of inspection. Veterinarian will be contacted for further clarification.
- 2. Embryo transfer (ET) only one embryo transfer offspring per donor mare shall be eligible for enrollment in the KMHB IF per foaling year. Donor mares shall stay in Kentucky until the recipient mare is checked in foal. Recipient mares shall remain in Kentucky until checked in foal 42 60 days. An *Embryo Transfer Notification Form* must be submitted. A licensed Kentucky veterinarian shall perform all ET procedures. A copy of all forms/paperwork required by AMHA shall be sent to the KMHBC consistent with AMHA deadlines.

FOALS

1. Foals eligible for nomination must be conceived and foaled in Kentucky. The sire must be nominated to the KMHB IF for the breeding year and the dam must be nominated to the KMHB IF for the foaling year.

- 2. A *Foal Nomination Form* must be submitted by December 1 of the foaling year along with a color copy (front and back) of the foal's AMHA registration certificate and all fees paid. **Exception:** Foals earning points during their foaling year must be nominated by August 1 of their foaling year in order for those points to count. A copy of the AMHA foal application may be submitted if the certificate hasn't been received by the deadline. All nominations after August 1 will start accumulating points January 1 of their yearling year.
 - a) Foals not nominated by December 1 of the foaling year may be late nominated for \$100.00 per month late fee in addition to the nomination fee.
 - b) Foal nomination is a one-time fee.
 - c) Non-Kentucky residents must submit a copy of the mare's board bills covering her time in Kentucky. Also refer to MARES 1. c)
- 3. No foal shall be eligible from semen shipped into Kentucky.
- 4. All nominated foals must be DNA'd. All foals of sires and dams born after January 1, 1996 must be parent qualified. Foal may be DNA'd after nomination. A copy of the foal's DNA must be on file with the KMHBC prior to all payouts. It is highly recommended that once a foal earns a point that the DNA be done.
- 5. Any changes in AMHA registration must be reported to the KMHBC.
 - a) If a nominated horse is sold, the seller must complete the transfer form on the back of the KMHBC certificate and send it to the KMHBC office **OR** send the following information to the KMHBC office: seller's name and address, registered name and AMHA number of horse sold, date of sale, purchaser's name, address, phone number and email address.
 - b)Current owners must submit an updated copy of the AMHA registration certificate when a nominated horse goes from temporary to permanent or when any other changes are made to the registration certificate.

D. Points will be earned at all approved AMHA shows.

1. Points will be calculated like AMHA Rule PA-015.

# of horses in class	1 st	2nd	3rd	4 _{th}	5th	6th
1-2	1/2					
3-5	1	1/2				
6-8	2	1	1/2			
9-11	3	2	1	1/2		
12-14	4	3	2	1	1/2	
15-17	5	4	3	2	1	1/2
18 & over	6	5	4	3	2	1

Payouts will be based on the number of Open and Amateur points (not including showmanship or reinsmanship classes) earned by KMHB IF eligible horses. Show year will coincide with AMHA Honor Roll which is January 1 to December 31. All approved shows held in Kentucky will earn double points.

- 2. AMHA's most current show rules in print will serve as a guide and default criteria for any and all aspects of the KMHB IF.
- 3. Foals earning points during their foaling year must be nominated by August 1 of their foaling year in order for those points to count. All nominations after August 1 will start accumulating points January 1 of their yearling year.
- 4. Fifteen years of age is the limit for a nominated foal to earn points.

E. Disbursement of Funds to all nominated horse owners:

- 1. 10% to the stallion owner. Stallion owner is the person or persons owning the stallion at time of breeding. If the stallion has multiple owners, stallion may be nominated by all or one owner. The owner nominating the stallion is responsible for filing all forms and complying with all KMHB IF and KHRC rules.
- 2. 10% to the breeder or foal nominator. If not the same, the premium money will be awarded 50/50 to each party. The breeder is the owner of the mare at the time of breeding.
- 3. 80% to the owner or owners of the nominated foal. If a nominated foal is sold, each owner will receive remuneration for the points earned under their ownership.
- 4. KHRC will not distribute award checks prior to March 31 of the current year for the previous program year. Award checks will only be distributed when all program requirements have been met. All required information must be postmarked to the KMHBC by December 1 in order to allow time for the claim form to be mailed to claim recipient/s and to allow time for the claim recipient/s to mail to the KHRC no later than December 31 of the year following the program year.